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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

U.S. APPLICATION NO. (If known seg 3746)

PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 30 December 2003 30 December 2002 PCT/DE2004/000002 TITLE OF INVENTION FUEL CELL SYSTEM AND METHOD FOR OPERATING A FUEL CELL SYSTEM APPLICANT(S) FOR DO/EO/US Rainer AUTENRIETH Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Datasheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

20. Other items or information:

Letter re. Priority;

Express Mail Label EV 568 473 974 US;

Unexecuted Declaration & PoA

JC14 Rec'd PCT/PTO 29 JUN 2005

PTO-1390 (Rev. 2-2005)
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| The following fees have been submitted | U.S. APPLICATION RO. | S. APPLICATION TO . (III) Com see 37 CF R 1.50) INTERNATIONAL APPLICATION NO PCT/DE2004/000002 | | | | NO. | ATTORNEY'S DOCKET NO. 510.1145 | | |
|---|---|--|----------------------|---------|------------|---------|-----------------------------------|------------------|--------------|
| ## International preliminary examination report prepared by USPTO ands all claims satisfy provisions of PCT Article 33(1)(4) | The following fees have been submitted | | | | | | CAL | CULATIONS | PTO USE ONLY |
| If International preliminary examination report propared by USPTO ands all claims satisfy provisions of POTA Articles 333(1)-(4) | | | | | | | \$ 30 | 00.00 | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPT 0 as an international Searching Authority USPT 0 as in International Searching Authority USPT 0 as international | If International preliminary examination report prepared by USPTO ands all claims satisfy provisions of PCT Article 333(1)-(4) | | | | | | \$ 200.00 | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an international Searching Authority | | | | | | | | | <u> </u> |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets | Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | | | | |
| sequence listing or computer program listing filed in an electronic medium. The fee is \$250 for each additional 50 settles of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) RATE - 100 = /50 = x \$250 = \$ Surcharge of \$130.00 for furnishing the cath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)) | | | | | | | \$ | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the seriest claimed priority date (37 CFR 1.492(e)) | sequence listing or computer program listing filed in an electronic medium). | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)) | Total Sheets Extra | | | | RATE | | | | |
| earliest claimed priority date (37 CFR 1.492(e)) | | | | | | _ | \$ | | |
| Independent Claims 16 - 20 = 0 | | | | | | | | | |
| Independent Claims 2 - 3 = 0 | CLAIMS | NUMBER FILED | NUMBER EXTRA | ļ | RATE | _ | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) TOTAL OF ABOVE CALCULATIONS = \$900.00 Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½: SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ TOTAL FEES ENCLOSED = \$900.00 Amount to be refunded: Amount to be refunded: Amount to be charged: \$ Amount to be charged: \$ Amount to be charged: \$ TOTAL PEES ENCLOSED = \$00.00 Adjuicate copy of this sheet is enclosed. D. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. C. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$_0.0552. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending statys. SEND ALL CORRESPONDENCE TO: Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 ROBERT J. Paradiso NAME A 240.00 PROCESTOR AND | Total Claims | 16 - 20 = | 0 | x | \$50 | = | \$ | | |
| TOTAL OF ABOVE CALCULATIONS = \$900.00 Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$ the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$900.00 Amount to be refunded: \$ Amount to be charged: \$ Amount to be charged: \$ Aduplicate copy of this sheet is enclosed. C. A check in the amount of \$900.00 to cover the above fees is enclosed. C. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$50-0552. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending statys. SEND ALL CORRESPONDENCE TO: Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 Robert J. Paradiso NAME 13 240 | Independent Claims | 2 - 3 = | 0 | х | \$200 | = | \$ | | |
| Applicant claims small entity status. See 37 C.F.R. 1.27. Fees above are reduced by ½. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property + \$ TOTAL FEES ENCLOSED = \$900.00 Amount to be refunded: \$ Amount to be charged: \$ C. A check in the amount of \$900.00 to cover the above fees is enclosed. C. A check in the amount of \$100.00 to cover the above fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \$10.050.00 to charge any additional fees which may be required, or credit any overpayment to Deposit Acco | MULTIPLE DEPENDE | NT CLAIM(S) (if application | able) | + | \$360 | + | \$ | | <u>i</u> |
| by ½. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$ 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ TOTAL FEES ENCLOSED = \$ 900.00 Amount to be refunded: Amount to be charged: Amount to be charged: Amount to be charged: Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 Amount to be charged: TOTAL FEES ENCLOSED = \$ 900.00 TOTAL FEES ENCLOSED | | | TOTAL OF AB | OVE CA | LCULATION | S = | \$ 90 | 00.00 | |
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| accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$900.00 Amount to be refunded: \$ Amount to be charged: \$ a. A check in the amount of \$900.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940 Amount to be refunded: \$ 400.00 per property and the predunded in the provided in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. C. A duplicate copy of this sheet is enclosed. Separate of the amount of \$ to cover the above fees is enclosed. Separate of the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. C. A duplicate copy of this sheet is enclosed. Separate of the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. C. A duplicate copy of this sheet is enclosed. Separate of the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. C. A duplicate copy of this sheet is enclosed. Separate of the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. Separate of the amount of \$ to cover the above fees. | TOTAL NATIONAL FEE = | | | | | | \$ 900.00 | | |
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| a. A check in the amount of \$900.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending statys. SEND ALL CORRESPONDENCE TO: Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940 NAME | | | | | | | | | \$ |
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| Davidson, Davidson & Kappel, LLC 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940 NAME 41 240 | SEND ALL CORRESP | ONDENCE TO: | | | 'L#/ | I/ν | /11 | |) |
| 485 Seventh Avenue, 14th Floor New York, NY 10018 (212)-736-1940 Robert J. Paradiso NAME | Davidson, Davidson (| k Kappel, LLC | | SIGNATU | RE / | V | w | ~~ ~~ | <u> </u> |
| (212)-736-1940 NAME | | 14th Floor | | , | // | • | | | |
| 41 240 | | | | | | | | | |
| Dated: June 29, 2005 REGISTRATION NUMBER | Dated: June 29, 200 | Dated: June 29, 2005 A1,240 REGISTRATION NUMBER | | | | | | | |

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on June 29, 2005

Date

Calvin Ashby III

Typed or printed name of person signing Certificate

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Note: Each paper must have its own certificate of mailing by Express Mail, or this certificate must identify

Re.: Docket No.: 510.1145

Applicant(s): Rainer AUTENRIETH

Serial No.: To be assigned

Invention: **FUEL CELL SYSTEM AND METHOD FOR OPERATING A FUEL CELL SYSTEM**Filing Date: Herewith as national phase of International Patent Application No. PCT/DE2004/000002,

filed December 30, 2003

- Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C 371 (2 pages);
- Copy of Int. Patent Application PCT/DE2004/000002 w/ Int. Search Report (22 + 8 pages);
- Translation of Int. Patent Application PCT/DE2004/000002 (14 pages);
- Three (3) Sheets of Drawings;
- Preliminary Amendment (8 pages);
- Unexecuted Declaration and PoA (1 page);
- Application Data Sheet (2 pages);
- Letter re: Priority (1 page);
- Information Disclosure Statement with PTO-1449 (3 pages) and copies of cited references;
- Return Receipt Postcard;
- Check over \$900.00

This collection of information is required by 37 CFR 1.10. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.